



PATENT

Case Docket No.: BT-001

Date: April 12, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : TAN
App. No. : 10/823,043
Filed : APRIL 12, 2004
For : Annatto Extract Compositions
Including Tocotrienols and
Tocopherols and Methods of Use
Examiner : UNKNOWN
Group Art Unit : UNKNOWN

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 1, 2005

(Date)

Agent of Record, Reg. No. 51,763

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

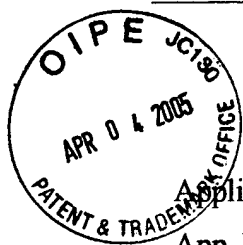
Enclosed for filing in the above-identified application are:

- ☒ An Information Disclosure Statement.
- ☒ A PTO/SB/08 equivalent with 1 enclosed reference.
- ☒ A PTO Form 2038 is attached to charge to the credit card account \$0.00 to cover the above fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to the credit card account
- ☒ Return prepaid postcard.

Respectfully submitted,

By:

Kirk Hahn, Ph.D.
Registration No. 51,763
Agent of Record
Customer No.038051

**INFORMATION DISCLOSURE STATEMENT**

Applicant : TAN
App. No. : 10/823,043
Filed : April 12, 2004
For : Annatto Extract Compositions Including
Tocotrienols and Tocopherols and Methods
of Use
Examiner : UNKNOWN
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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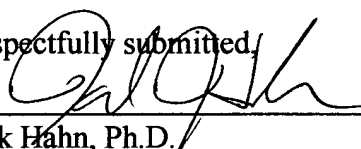
Enclosed is form PTO/SB/08 equivalent listing two (2) references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to the credit card account.

Dated: April 1, 2005

By:

Respectfully submitted,


Kirk Hahn, Ph.D.
Registration No. 51,763
Agent of Record
Customer No. 038051

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. BT-001

APPLICATION NO. 10/823,043

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT Tan, B.

FILING DATE: April 12, 2004

GROUP Unknown

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
|---------------------|---|-----------------|--------------|-------------|-------|----------|---------------------------------|
| | 1 | 6,239,171 | May 29, 2001 | Lane et al. | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|--|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
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| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | |
|---------------------|--|---|
| | 2 | Sardi, B., Special Report: Part II Choosing Natural Agents for Cholesterol Control, March 2003. |
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| EXAMINER | DATE CONSIDERED |
|--|-----------------|
| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. | |